

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

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**Post-Distribution Accounting Form**

For guidance and instruction, please see

<https://www.cand.uscourts.gov/forms/procedural-guidance-for-class-action-settlements/>

Case Number (YY-xx-#####)	3:20-cv-00547-WHA
Case Name	Tollen v. Geron Corporation, et al.
Attorney Name	Jeffrey P. Campisi
Nature of Action	Claims under Sections 10(b) and 20(a) of the Securities Exchange Act of 1934
Attorney Email	jcampisi@kaplanfox.com
Party Represented	Lead Plaintiffs and Class Representatives Julia Junge and Richard Junge
This is:	<input checked="" type="checkbox"/> An interim post-distribution accounting. Not all settlement funds have been distributed. <input type="checkbox"/> A final post-distribution accounting. All settlement funds have been distributed.

1. Total settlement fund	\$25,541,857.42 (\$24 million Settlement Amount plus interest)		
2. Number of class members	146,019 notices 128,761 supplemental notices		
3. Number of class members to whom notice was sent and not returned as undeliverable	138,234 118,828		
4. Number of claim forms submitted	7,654	N/A	<input type="checkbox"/>
5. Percentage of claim forms submitted [=Q4/Q3]	5.5%/6.5%	N/A	<input type="checkbox"/>
6. Number of opt-outs	130		
7. Percentage of opt-outs [=Q6/Q3]	0.09%		
8. Number of objections	0		
9. Percentage of objections [=Q8/Q3]	0		
10. Average recovery per claimant (1 <sup>st</sup> distribution)	\$4,626.16		
Average recovery per claimant (2nd distribution)	\$139.31		

11. Median recovery per claimant (1 <sup>st</sup> distribution)	\$564.43
Median recovery per claimant (2 <sup>nd</sup> distribution)	\$33.92
12. Maximum recovery per claimant (1 <sup>st</sup> distribution)	\$2,975,271.77
Maximum recovery per claimant (2 <sup>nd</sup> distribution)	\$44,526.08
13. Minimum recovery per claimant (1 <sup>st</sup> distribution)	\$10.02
Minimum recovery per claimant (2 <sup>nd</sup> distribution)	\$10.33
14. Methods of notice to class members [1 or more], and percentage of success by method if known. Leave percentage blank if not known.	

<input checked="" type="checkbox"/>		Mail	<input checked="" type="checkbox"/>		Email	<input type="checkbox"/>		Text
<input checked="" type="checkbox"/>		Advertisement	<input checked="" type="checkbox"/>		Website	<input type="checkbox"/>		Other

15. Methods of payment to class members [1 or more], and percentage of success by method if known. Leave percentage blank if not known.

<input type="checkbox"/>		Direct Deposit	<input type="checkbox"/>		Gift Card	<input checked="" type="checkbox"/>	93%	Paper Check
<input checked="" type="checkbox"/>	100%	Wire	<input type="checkbox"/>		Payment App	<input type="checkbox"/>		Other

16. Number of checks not cashed (1 <sup>st</sup> distribution)	212 out of 3,211 issued
Number of checks not cashed (2 <sup>nd</sup> distribution)	92 out of 1,445 issued

17. Total value of checks not cashed (1 <sup>st</sup> distribution)	\$542,995.92
Total value of checks not cashed (2 <sup>nd</sup> distribution)	\$4,917.92

18. Amount of settlement funds claimed by class member	\$70,855,587.63	N/A	<input type="checkbox"/>
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19. Amount of settlement funds distributed to class members	\$18,384,340.16
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20. Amounts distributed to each cy pres recipient		Name	Amount
	1.		\$
	2.		\$
	3.		\$
	4.		\$
	5.		\$
	6.		\$
	7.		\$
	8.		\$

	9.		\$
	10.		\$
21. Administrative costs		\$900,000.000	
22. Attorneys' fees		\$4,320,000.00 (plus 18% of interest)	
23. Attorneys' costs excluding expert costs		\$382,674.17	
24. Expert costs		\$703,679.10	
25. Attorneys' fees in terms of percentage of the settlement fund		18%	
26. Plaintiffs' counsel's final lodestar total		\$4,951,210	
27. Lodestar multiplier [# x.y]		0.87	
28. Describe any potential fraud issues encountered, the likely causes, and how they were addressed			
29. Number of class members availing themselves of nonmonetary relief		n/a	
30. Aggregate value redeemed		n/a	

Continued on next page.

31. Where injunctive and or other non-monetary relief has been obtained, discuss the benefit conferred on the class.

32. Other notes and issues required to be addressed by judge's standing order.

End of form.